

Executive Decision Report

Capital Budget Monitoring – Period 4, 2017/18

Decision to be taken by: City Mayor

Decision to be taken on: 5th October 2017

Overview Select Committee date: 2nd November 2017

Lead director: Alison Greenhill



City Mayor

Useful Information

- Report author: Ernie Falso
- Author contact details: ernie.falso@leicester.gov.uk

1. Summary

- 1.1 The purpose of this report is to show the position of the capital programme for 2017/18 as at the end of Period 4.
- 1.2 This is the first report of the financial year. Further quarterly reports and an outturn report will be presented as the year progresses.

2. Recommendations

2.1 The Executive is recommended to:

- Note total spend of £17.6m for 2017/18
- Note the progress in delivery of major projects, as shown at Appendix A.
- Note progress on spending work programmes, and forecast slippage of £7.1m, as shown at Appendix B.
- Note that the great majority of provisions remain unspent (Appendix C).

The OSC is recommended to:

- Consider the overall position presented within this report and make any observations it sees fit.

3. Supporting Information including options considered

- 3.1 The 2017/18 Capital Programme was approved by Council on 24th February 2016.
- 3.2 The originally approved capital programme was split in the following way:
 - (a) Schemes classified as '**immediate starts**', which required no further approval to commence; and
 - (b) A number of separate '**policy provisions**' which would not be released until specific proposals have been approved by the Executive.
- 3.3 Changes are now proposed to the way the capital programme will be monitored. The main purpose of the changes is to focus capital monitoring of key projects on physical delivery, rather than in-year spending. Immediate Starts are now split into:
 - (a) **Projects**, which are discrete, individual schemes such as a road scheme or a new building. Monitoring of projects focusses on delivery of projects on time and the achievement of milestones. Consequently, there is no attention given to in-year financial slippage;
 - (b) **Work Programmes**, which consist of minor works or similar on-going schemes where there is an allocation of money to be spent during a particular year.

Monitoring of work programmes focusses on whether the money is spent in a timely fashion;

- (c) **Provisions**, which are sums of money set aside in case they are needed, where low spend is a favourable outcome rather than indicative of a problem;
- (d) **Schemes which are substantially complete**. These schemes are the tail end of schemes in previous years' capital programmes, usually consisting of small amounts of money brought forward from earlier years;
- (e) **Policy Provisions**, which are sums of money for which there is currently no approval to spend, ie they are awaiting a City Mayor decision. Spending cannot be monitored until such approval has been given.

3.4 Summary of the total approved capital programme as at Period 4:-

	<u>£000</u>
Projects	95,815
Work Programmes	56,511
Provisions	1,716
Schemes nearly complete	<u>4,398</u>
Total Immediate Starts	158,440
Policy Provisions	<u>51,282</u>
Total Capital Programme	<u>209,722</u>

3.5 The following appendices to this report show progress on each type of scheme:

- Appendix A – Projects
- Appendix B – Work Programmes
- Appendix C – Provisions
- Appendix D – Projects Substantially Complete
- Appendix E – Policy Provisions

3.6 This report only monitors policy provisions to the extent that spending approval has been given, at which point they will be classified as projects, work programmes or provisions.

3.7 Capital Receipts

3.7.1 At Period 4, the Council has realised £4.9m of General Fund capital receipts.

3.7.2 The approved capital programme assumed that capital receipts received since the programme was prepared would be set aside for 18/19 programme. Thus, none of these receipts are required to fund the current programme. Taken together with receipts received at the end of 2016/17, £15.3m will so far be available when the 18/19 programme is prepared.

3.7.3 Right to Buy receipts this year have so far amounted to £4.8m.

4. Financial, Legal and other Implications

4.1 Financial Implications

This report is solely concerned with financial issues.

Alison Greenhill, Director of Finance, 37 4001

4.2 Legal Implications

There are no legal implications arising directly from the recommendations of this report.

Emma Horton, Head of Law (Commercial, Property and Planning).

4.3 Climate Change and Carbon Reduction Implications

This report is solely concerned with financial issues.

4.4 Equalities Implications

No Equality Impact Assessment (EIA) has been carried out as this is not applicable to a budget monitoring report.

4.5 Other Implications

Other implications	Yes/No	Paragraph referred
Equal Opportunities	No	-
Policy	No	-
Sustainable & Environmental	No	-
Crime & Disorder	No	-
Human Rights Act	No	-
Elderly/People on low income	No	-
Corporate Parenting	No	-
Health Inequalities Impact	No	-

No other implications are noted as this is a budget monitoring report, and therefore no policy changes are proposed.

5. Is this a private report

No.

6. Is this a “key decision”?

No.

7. If a key decision please explain reason

N/A

PROJECTS**1. Summary**

- 1.1 As stated in the cover report, the focus of monitoring projects is physical delivery, ie whether they are being delivered on time, on budget and to the original specification. This appendix summarises progress on projects. Departmental/Divisional summaries are shown at Appendix F.

Department /Division	Budget 2017-18 to 2019-20 £000	2017/18 Spend to Date £000
Corporate Resources	1,720	54
Adult Social Care	6,167	170
Planning, Development & Transportation	50,870	4,830
Tourism, Culture & Inward Investment	10,833	1,771
Neighbourhood & Environmental Services	451	0
Estates & Building Services	58	1
Housing Revenue Account	5,047	997
Children's Services	20,341	449
Public Health	328	94
Total	95,815	8,366

- 1.2 A list of the individual projects is shown in the table on the following 2 pages, which also summarises the progress of each project. Attention has been given to expected completion dates and any project issues that have arisen.
- 1.3 A colour-coded rating of progress of each project has been determined, based on whether the project is progressing as expected, and whether it is still expected to complete within budget.
- 1.4 The ratings used are:
- (a) **Green** Successful delivery of the project on time, within budget, to specification and in line with original objectives seems very likely. There are no major issues that appear to threaten delivery significantly.
 - (b) **Amber** Successful delivery of the project on time, within budget, to specification and in line with original objectives appears probable. However, some risks exist and close attention will be required to ensure these risks do not materialise into major issues threatening delivery. Alternatively, a project is classed as amber if some insubstantial slippage or minor overspend is probable.
 - (c) **Red** Successful delivery of the project on time, within budget, to specification and in line with original objectives appears to be unachievable. The project is expected to require redefining, significant additional time or additional budget.
 - (d) **Blue** The project is complete.

(e) **Purple** The project is on hold, for reasons which have nothing to do with management of the capital programme. Examples include reconsideration of whether the project is still needed as originally proposed, or withdrawal of a funder.

1.5 Some projects have slipped from their original timescales, but have since been rescoped (prior to the introduction of the new monitoring process). Where this has occurred, departments have been advised that such projects can be rated “green” if they are proceeding to plan following the rescoping. In future, of course, the need for such a rescoping will automatically require the rating to be “red”, until the rescoping is approved.

2. Summary of Individual Projects

Dept/ Division	Project	Total Budget (£000)	2017/18 Spend (£000)	Forecast U/(O)spend (£000)	Original Completion Date	Forecast Completion Date	RAG Ratings		Reason for RAG Rating (if not Green or Blue)
							Project	Budget	
CRS	Electronic Document System Replacement	330	0	0	Apr-18	Sep-19	Purple	Purple	May not be needed
CRS	Automatic Call Distribution System Upgrade	300	7	0	Apr-18	Apr-19	Purple	Purple	Currently out to tender
CRS	Lync Telephony Infrastructure Upgrade	47	0	0	Apr-17	Jul-17	Blue	Blue	
CRS	Finance, HR & Payroll System	1,043	47	0	Jul-17	Oct-17	Amber	Amber	Delay risk due to resourcing and HR/payroll implementation issues
ASC	ICT Investment - Phase 2 - Liquidlogic	1,185	123	0	Jan-19	TBC	Green	Green	
ASC	Improvement to Day Care Services at Hastings Road	385	0	0	Apr-16	Apr-18	Amber	Amber	Delayed during July whilst was being re-assessed
ASC	Anchor Centre - new recovery hub	599	47	0	Apr-17	Oct-17	Green	Green	
ASC	Specialist Dementia Care Centre	1,548	0	0	TBC	TBC	Purple	Purple	Awaiting govt announcement on Housing Cap in Autumn
ASC	Extra Care Schemes	2,450	0	0	TBC	TBC	Purple	Purple	
CDN (PDT)	Leicester North West Major Transport Scheme	8,828	73	0	Mar-19	Mar-19	Green	Green	
CDN (PDT)	North City Centre Access Improvement Scheme	8,932	398	0	Feb-20	Apr-19	Green	Green	
CDN (PDT)	City Centre Street Improvements	2,297	374	0	Apr-19	Apr-19	Green	Green	
CDN (PDT)	Townscape Heritage Initiative	2,515	601	0	Feb-18	Apr-18	Green	Green	
CDN (PDT)	Friars' Mill Phase 2	1,250	0	0	Aug-17	Feb-18	Purple	Purple	New contractor to be appointed
CDN (PDT)	Waterside Strategic Regeneration Area	25,370	3,179	0	Mar-23	Mar-23	Green	Green	
CDN (PDT)	Shahista House, 37-45 Rutland Street	150	0	0	Dec-17	Dec-17	Green	Green	
CDN (PDT)	Great Central Street / Vaughan Way	150	4	0	Jan-19	Jan-19	Green	Green	
CDN (PDT)	Ashton Green	878	194	0	Mar-18	Apr-18	Green	Green	
CDN (PDT)	Pioneer Park	500	7	0	Dec-17	Dec-17	Green	Green	
CDN (TCI)	Dock 2	1,287	8	0	TBC	TBC	Purple	Purple	
CDN (TCI)	Jewry Wall Museum Improvements	1,622	9	0	Mar-19	May-19	Amber	Amber	Design issues on walkway
CDN (TCI)	Leicester Market Redevelopment	4,610	614	0	Dec-18	Dec-18	Green	Green	
CDN (TCI)	Haymarket Theatre	2,814	1,140	0	Dec-17	Jun-18	Amber	Amber	Contractor 2-4 weeks behind
CDN (TCI)	Abbey Pumping Station	500	0	0	Mar-19	Mar-19	Green	Green	
CDN (NES)	Saffron Hill Cemetery Improvements	301	0	0	Dec-17	Dec-17	Green	Green	
CDN (NES)	Library Management System	150	0	0	Dec-18	Dec-18	Green	Green	

Dept/ Division	Project	Total Budget (£000)	2017/18 Spend (£000)	Forecast U/(O)spend (£000)	Original Completion Date	Forecast Completion Date	RAG Ratings		Reason for RAG Rating (if not Green)
							Project	Outcomes	
CDN (EBS)	15 New Street	58	1	0	Nov-17	Dec-17	Green	Green	
ECS	Additional Places - Inglehurst Junior	310	12	0	Jan-18	Mar-18	Amber	Amber	Review following VFM concerns
ECS	Additional Places - Spinney Hill	231	1	0	TBC	TBC	Purple	Purple	Structural works not viable
ECS	Additional Places - Alderman Richard Hallam	400	0	0	Sep-17	Sep-17	Green	Green	
ECS	Additional Places - Overdale Junior	86	0	0	Aug-16	Aug-16	Blue	Blue	
ECS	Additional Places - Marriott	612	0	0	TBC	TBC	Purple	Purple	Requires scoping work with school
ECS	Primary School TMBs	2,346	145	266	Oct-17	Oct-17	Green	Green	
ECS	Primary School Internal Reconfigurations	777	0	0	Sep-17	Sep-17	Green	Green	
ECS	Carisbrooke TMB	693	0	0	Oct-17	Oct-17	Green	Green	
ECS	Secondary School TMBs	11,993	272	0	Oct-17	Oct-17	Green	Green	
ECS	Fullhurst / Braunstone Skills Centre Expansions	575	0	0	Oct-17	Oct-17	Green	Green	
ECS	Fullhurst / Ellesmere School Expansions	1,725	0	0	Apr-18	Aug-19	Amber	Amber	Project on critical path
ECS	Children's Homes - Barnes Heath	105	2	0	Sep-17	Sep-17	Green	Green	
ECS	Children's Homes - Dunblane Avenue	96	0	0	Oct-17	Oct-17	Amber	Amber	Review of service underway
ECS	Children's Homes - Netherhall	214	0	0	TBC	TBC	Purple	Purple	Awaiting confirmation of budget
ECS	Children's Homes - Tatlow Road	178	17	0	Dec-16	Feb-18	Amber	Amber	Was delayed whilst overspending
PH	Humberstone Heights Golf Course - drainage/irrigation	328	94	0	Mar-18	Mar-18	Green	Green	
Total (excluding HRA)		90,768	7,369	266					
CDN (HRA)	Conversion of Former Council Hostels	1,988	605	0	Jan-18	Jan-18	Green	Green	
CDN (HRA)	St Leonard's Tower Block - Lift	100	0	0	Mar-18	Apr-18	Amber	Amber	Potential to exceed budget
CDN (HRA)	Exchange Demolition	112	0	0	Dec-17	Mar-18	Amber	Amber	Delay in construction by medical centre owner
CDN (HRA)	E-Communications (Mobile Working)	402	0	0	Mar-18	Dec-18	Amber	Amber	Procurement of new supplier
CDN (HRA)	Northgate Business Systems Phase 2	1,536	65	0	Jun-18	Jun-18	Amber	Amber	Delay in procurement decision
CDN (HRA)	Tower Block Redevelopment	909	327	0	Nov-18	Jan-19	Amber	Amber	Safety works following Grenfell
Total HRA		5,047	997	0					
Total (including HRA)		95,815	8,366	266					

3. Commentary on Specific Projects

- 3.1 Explanatory commentary for projects that are not currently progressing as planned, or for which issues have been identified is provided below. This has been defined as any scheme that has a RAG Rating other than “green” or “blue”.
- 3.2 **Electronic Document System Replacement** This project is currently on hold pending an assessment of whether or not it should go ahead. Should the document storage capabilities of other systems such as LiquidLogic and SharePoint be deemed sufficient for Council needs, investment in a dedicated and costly EDRMS solution would not be required.
- 3.3 **Automatic Call Distribution System Upgrade** This project is currently on hold whilst a provider is being sought.
- 3.4 **Finance, HR and Payroll System** Resourcing issues and knock on impact of HR/payroll implementation has added some risk to the implementation timetable.
- 3.5 **Improvement to Day Care Services at Hastings Road** This project was delayed whilst a review into the need for the day centre was carried out. A review concluded that the current service is cost effective and generates Continuing Health Care income from Health for some service users.
- 3.6 **Specialist Dementia Care Centre** This project is currently on hold, awaiting a government announcement of the Housing Cap in Autumn 2017.
- 3.7 **Extra Care Schemes** This project is currently on hold, awaiting a government announcement on the Housing Cap in Autumn 2017.
- 3.8 **Friars' Mill Phase 2** This project is currently on hold, following the main contractor going into administration. The current delivery strategy is to dispose of the partially constructed buildings via a land sale to a developer to complete for resale or letting.
- 3.9 **Dock 2** As reported at 2016/17 Outturn, tenders received for the project were higher than the budget allowed. This project is now on hold as a result.
- 3.10 **Jewry Wall Museum Improvements** This project is expected to complete later than originally expected due to a technical review of the Stage 4 Walkway Design concluding that the current proposals were inadequate. Further works are also required to investigate archaeology and utilities infrastructure that will be impacted by the structure.
- 3.11 **Haymarket Theatre** Delays have arisen due to a health and safety issue that necessitate a temporary closure of the site. The main remaining issue concerns the consideration of new lift within the project scope that would adversely affect the completion date and add £250k to the cost.
- 3.12 **Exchange Demolition** The demolition of the existing parade of shops is dependent on the relocation of the post office currently located there. A decision was taken on 4th August 2017 to dispose of land on Sturdee Road to Ivonex Properties Limited in order that an existing Health Centre can to be extended, and can accommodate a new post office.

- 3.13 **E-Communications (Mobile Working)** Procurement of new devices is currently in progress. This has delayed the trialling of new devices.
- 3.14 **Northgate Business Systems Phase 2** Roll-out of the online offer has been delayed due to the delay of the mobile working project.
- 3.15 **Tower Block Redevelopment** Following the Grenfell Tower fire in London, it was decided to delay the re-occupation of Gordon House to reassure returning tenants that all passive fire safety measures had been completed to the required standard. This has subsequently affected the start date for works to Maxfield House.
- 3.16 **Additional School Places – Inglehurst Junior School** Value for money concerns regarding submitted costs have been identified. The project was therefore reviewed and re-programmed to allow for a formal competitive tender process, resulting in a revised programme as per the current forecast programme.
- 3.17 **Additional School Places – Spinney Hill Primary School** A feasibility study was previously executed within EBS outlining that the current specification would not prove financially viable due to the amount of structural works required and therefore increased costs to the scheme proposal. The project is currently on hold and no further costs will be incurred other than the feasibility study.
- 3.18 **Additional School Places – Marriott Primary School** A feasibility study has been completed. However, the project is briefly on hold whilst engagement takes place with the school to select the option for moving forward. A programme will be determined once the scope of works is agreed.
- 3.19 **Fullhurst/Ellesmere School Expansion** There have been challenges in establishing a deliverable programme, which is presently being reviewed with the Framework Contractor. The project is on the critical programme path in relation to having the first phase of accommodation ready for occupation by August 2017.
- 3.20 **Children’s Homes – Dunblane Avenue** Whilst most works are either complete, or on track for completion, the Ground Floor Office Refurbishment Works are presently on hold pending review of funding remaining across the Children’s Homes and Contact Centres Programme.
- 3.21 **Children’s Homes – Netherhall** Due to wider budget overspend, works currently on hold until confirmation of budget.
- 3.22 **Children’s Homes – Tatlow Road** Works were delayed pending a full programme review due to identification of programme overspend. Works have recommenced and are on track to the revised programme.
- 3.23 **St Leonards Lift Tower Block** – potential for cost of up to £195,000 which would exceed current approval.

WORK PROGRAMMES**1. Summary**

- 1.1 As stated in the cover report, work programmes are minor works or similar on-going schemes where there is an allocation of money to be spent during a particular year. Monitoring of work programmes focusses on whether the money is spent in a timely fashion.

Department /Division	Approved £000	2017/18 Spend to Date £000	Forecast Slippage £000	Forecast Under/(over) Spend £000
Adult Social Care	248	0	0	0
Planning, Development & Transportation	9,376	1,494	0	0
Tourism, Culture & Inward Investment	80	0	0	0
Neighbourhood & Environmental Services	225	0	0	0
Estates & Building Services	374	149	0	0
Housing General Fund	5,459	57	1,039	0
LLEP	334	398	0	0
Children's Services	11,847	1,802	5,230	0
Total (excluding HRA)	27,943	3,900	6,269	0
Housing Revenue Account	15,764	3,633	850	0
Total (including HRA)	43,707	7,533	7,119	0

2. Summary of Individual Work Programmes

Work Programme	Approved £000	2017/18 Spend to Date £000	Forecast Slippage £000	Forecast Under/(over) Spend £000
Transport Improvement Works	1,458	282	0	0
Air Quality - Walking and Cycling	115	0	0	0
Collaborate Business Project - Business Grants	300	0	0	0
Highways Maintenance	3,777	635	0	0
Townscape Heritage Initiative - Business Grants	200	71	0	0
Flood Strategy	247	0	0	0
Festive Decorations	50	6	0	0
Local Environmental Works	78	124	0	0
Legible Leicester	618	105	0	0
Leicester Strategic Flood Risk Management Strategy	2,174	91	0	0
Parking Strategy Development	309	166	0	0
Retail Gateways	50	14	0	0
Heritage Interpretation Panels	80	0	0	0
Parks Plant and Equipment	150	0	0	0
Allotment Infrastructure Phase 2	75	0	0	0
Property Maintenance	374	149	0	0
Private Sector Disabled Facilities Grant	2,130	0	0	0
Repayable Home Repair Loans	300	33	0	0
Leicester Energy Efficiency Fund	50	0	10	0
Street Scene Improvements	50	0	0	0
Vehicle Fleet Replacement Programme	2,929	24	1,029	0
Local Growth Fund Projects	334	398	0	0
Additional Secondary Places	117	666	0	0
School Capital Maintenance	8,063	1,054	2,284	0
BSF Schools' Landlord Lifecycle Fund	3,667	82	2,946	0
Dementia Friendly Buildings Initiative	248	0	0	0
Total (excluding HRA)	27,943	3,900	6,269	0
New Kitchens in Council Housing	2,800	943	500	0
New Bathrooms in Council Housing	1,200	260	200	0
Council Housing - Boiler Replacements	3,500	941	0	0
Council Housing - Rewiring	2,200	351	150	0
Disabled Adaptations & Improvements	1,300	393	0	0
Council Housing - External Property Works	1,077	236	0	0
Community & Environmental Works - Housing Estates	1,554	195	0	0
Council Housing - Fire and Safety Works	1,150	269	0	0
Council Housing - Insulation Works	983	45	0	0
Total HRA	15,764	3,633	850	0
Total (including HRA)	43,707	7,533	7,119	0

3. Commentary on Specific Work Programmes

- 3.1 Explanatory commentary for work programmes not currently progressing as planned, or for which issues have been identified is provided below. For Period 4 monitoring, this has been defined as any scheme where material slippage is forecast.
- 3.2 **New Kitchens and Bathrooms in Council Houses** The Grenfell Tower fire has resulted in delays to the tower block redevelopment as the reoccupation of Gordon House was delayed to enable returning residents to be properly assured about fire safety measures. £500k of the planned expenditure on new kitchens will need to be re-profiled as a result.
- 3.3 **Council Housing – Rewiring** Re-wiring is demand-led and will underspend by £150k during the year; this will be used to fund additional investment in cost effective waylighting, which delivers on-going revenue savings.
- 3.4 **Community & Environmental Works - Housing Estates** Spend on waylighting can be brought forward from 2018/19 and funded from underspends in the Council Housing Rewiring programme.
- 3.5 **Vehicle Fleet Replacement Programme** Work is underway to develop a detailed vehicle replacement plan that will promote the most cost effective management of the Council's vehicle fleet. Given the time this is expected to take, and that vehicles are being kept for longer, the programme is forecasting that £1.0m will need to be re-profiled into 2018/19. The outcome of this review could result in less vehicles being required.
- 3.6 **School Capital Maintenance & BSF Landlord Lifecycle Fund** Some school maintenance budgets have been rescheduled for 2018-19 following a prioritisation exercise.

PROVISIONS**1. Summary**

- 1.1 As stated in the cover report, provisions are sums of money set aside in case they are needed, where low spend is a favourable outcome rather than indicative of a problem.
- 1.2 As at the end of Period 4, the following budgets for capital provisions were unspent.

Provision	Approved £000	2017/18 Spend to Date £000	2017/18 Commit- ments £000	2017/18 Total £000	Remaining Budget £000
Local Investment Fund Support	187	0	20	20	167
New School Places - General Contingency	1,083	0	750	750	333
Adventure Playgrounds & Youth Centres	25	0	25	25	0
Early Years - Two Year Olds - PVI providers	321	18	95	113	208
Empty Homes Purchase	50	0	50	50	0
Total	1,666	18	940	958	708

PROJECTS SUBSTANTIALLY COMPLETE**1. Summary**

- 1.1 As at the end of Period 4, the following schemes were still in progress and nearing completion. The budgets are the unspent amounts from previous years' capital programmes, mainly as a result of slippage.

Project	Approved £000	2017/18 Spend to Date £000	Forecast Slippage £000	Forecast Under/(over) Spend £000
Street Lighting Replacement Programme	166	16	0	0
Haymarket Bus Station	236	232	0	0
Friars' Mill Phase 1	220	2	0	0
Mill Lane Pedestrianisation (DMU funded)	70	137	0	0
Carron Building	18	0	0	0
Victoria Park Centenary Walk Phase 2	140	120	0	0
Installation of Defibrillators on Parks	25	5	0	0
New Walk Museum Works	414	287	0	0
LED Lighting	142	0	0	0
New School Places	605	168	0	0
Targeted Basic Need - Kestrels' Field	269	80	0	0
Children's Services Contact Centres	13	0	0	0
Schools (Residual BSF Programme)	1,793	593	0	0
Meynell's Gorse	65	0	0	0
Total (excluding HRA)	4,176	1,640	0	0
Affordable Housing Programme 2013-17	164	0	0	0
Total HRA	164	0	0	0
Total (including HRA)	4,340	1,640	0	0

POLICY PROVISIONS**1. Summary**

1.1 As at Period 4, the following policy provisions were still awaiting formal approval for allocation to specific schemes.

Department/ Division	Policy Provision	Amount £000
CDN (EBS)	Property Maintenance	3,400
CDN (EBS)	Braunstone Hall*	141
CDN (PDT)	Economic Action Plan	8,417
CDN (PDT)	Air Quality Action Plan	740
CDN (PDT)	Parking Strategy Development	1,400
CDN (PDT)	Local Environmental Works	700
ECS	Children's Services	27,530
ASC	Extra Care Schemes	6,700
Total (excluding HRA)		49,028
CDN (HRA)	New Affordable Housing	1,954
CDN (HRA)	Other HRA Schemes	300
Total HRA		2,254
Total (including HRA)		51,282

* exception, in that no further approval required

1.2 Money for new school places has been periodically released during the year, as plans are developed and approved. Decisions taken include:

- £12,758k released on 30/5/17 for temporary modular buildings and secondary school expansions.
- £777k released on 23/6/17 for primary school expansions.
- A further £4,834k released on 3/7/17 for temporary modular buildings and secondary school expansions.
- £739k released 11-14/7/17 for ICT needs for secondary school expansions.

1.3 Releases from policy provisions up to Period 4 (now reflected in the tables above) include:

- £850k released from the Economic Action Plan policy provision on 24/4/17 for improvement works to King Street.
- £696k released from the Economic Action Plan policy provision on 23/6/17 for the access improvements to Jewry Wall Museum.
- £300k released on 23/5/17 for the extension of Saffron Hill Cemetery.
- £150k released on 12/7/17 for the new Library Management System.

1.4 Since Period 4, the following amounts have also been released from policy provisions (these are not yet reflected in the tables above):

- £1,748k released from the Property Maintenance policy provision on 1/9/17 to undertake capital maintenance works on the Council's corporate property portfolio.
- £3,383k released from the Children's Services policy provision on 6/9/17 for the design phase of secondary school expansions.
- £763k released from the Economic Action Plan policy provision on 8/9/17 for public realm improvements in the St George's Cultural Quarter.

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Capital Programme Project Monitoring 2017/18

Departmental Summary

1. General

Department	Resources
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2. Projects

Project Name	Approval 17/18 to 19/20 (£000)	Original Completion Date	Forecast Completion Date	RAG Rating (project)	RAG Rating (outcomes) if different	Give reasons if not green
Electronic Document Management System	330	April 18	Sep 19	P		May not be needed
Automatic Call Distributor (ACD) system.	300	Apr 18	Apr 19	P		Currently out to tender
Lync telephony Infrastructure upgrade	47	Apr 17	July 17	B		Complete
Finance, HR & Payroll System HR /Finance	1,043	Jul17/June17	Aug17/Oct17	A		Delay risk due to resourcing and HR/payroll implementation issues
Total	1,720					

Capital Programme Project Monitoring 2017/18

Departmental Summary

1. General

Department (or Division in CDN)	Adult Social Care
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2. Projects

Project Name	Approval 17/18 to 19/20 (£000)	Original Completion Date	Forecast Completion Date	RAG Rating (project)	RAG Rating (outcomes) if different	Give reasons if not green
ICT Investment – Phase 2 (Liquidlogic Enhancements)	1,185	January 2019	March 2018	G		
Improvements to day care services at Hastings Road	385	April 2016	April 2018	A		Re-assessment following a decision in July 2017 to keep the building open
Anchor Centre – new recovery hub	599	April 2017	October 2017	G		
Special Dementia Care Centre	1,548			P		On hold awaiting government announcement on the Housing Cap in Autumn 2017
Extra Care – Two Schemes	2,450			P		On hold awaiting government announcement on the Housing Cap in Autumn 2017
Total	6,167					

Capital Programme Project Monitoring 2017/18

Departmental Summary

1. General

Department (or Division in CDN)	Planning, Development and Transportation.
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2. Projects

Project Name	Approval 17/18 to 19/20 (£000)	Original Completion Date	Forecast Completion Date	RAG Rating (project)	RAG Rating (outcomes) if different	Give reasons if not green
Leicester North West Major Transport Scheme	8,828	March 2019	March 2019	G		
North City Centre Access	8,932	Feb 2020	April 2019	G		
City Centre Street Improvements	2,297	April 2019	April 2019	G		
Townscape Heritage Initiative	2,515	Feb 2018	April 2018	G		
Friars Mill Phase 2	1,250	2016	Feb 2018	P		New delivery strategies. New contractor to be appointed.
Waterside	25,370	March 2023	March 2023	G		
Shahista House	150	December 2017	December 2017	G		
Vaughan Way/ Great Central Street (Development phase)	150	January 2019	January 2019	G		
Ashton Green	878	March 2018	April 2018	G		
Pioneer Park (Development phase)	500	December 2017	December 2017	G		
Total	50,870					

Capital Programme Project Monitoring 2017/18

Departmental Summary

1. General

CDN - Division	Tourism, Culture and Inward Investment
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2. Projects

Project Name	Approval 17/18 to 19/20 (£000)	Original Completion Date	Forecast Completion Date	RAG Rating (project)	RAG Rating (outcomes) if different	Give reasons if not green
Dock2	1,287			P		On Hold.
Jewry Wall Museum Improvement	1,622	March 2019	May 2019	A		Design problems on walkway. New procurement strategy needed. Shifts programme.
Leicester Market Redevelopment	4,610	Dec 2018	Dec 2018	G		
Haymarket Theatre	2,813	Dec 2017	Jun 2018	A		Completion will move back as scope has increased. Additional financial approval required. Showing amber as assumption is approval will be given.
Abbey Pumping Station	500	Various to March 2019	March 2019	G		
Total	10,832					

Capital Programme Project Monitoring 2017/18

Departmental Summary

1. General

Department (or Division in CDN)	Neighbourhood and Environmental Services
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2. Projects

Project Name	Approval 17/18 to 19/20 (£000)	Original Completion Date	Forecast Completion Date	RAG Rating (project)	RAG Rating (outcomes) if different	Give reasons if not green
Saffron Hill Cemetery Improvements	301	Dec 17	Dec 17	G		
Library Management System	150	Dec 18	Dec 18	G		
Total	451					

Capital Programme Project Monitoring 2017/18

Departmental Summary

1. General

Department (or Division in CDN)	Estates and Building Services (EBS)
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2. Projects

Project Name	Approval 17/18 to 19/20 (£000)	Original Completion Date	Forecast Completion Date	RAG Rating (project)	RAG Rating (outcomes) if different	Give reasons if not green
15 New Street	58	Nov 2017	Dec 2017	G		
Total	58					

Capital Programme Project Monitoring 2017/18

Divisional Summary – Housing

1. General

Department (or Division in CDN)	Housing
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2. Projects

Project Name	Approval 17/18 to 19/20 (£000)	Original Completion Date	Forecast Completion Date	RAG Rating (project)	RAG Rating (outcomes) if different	Give reasons if not green
Conversion of Former Council Hostels	1,988	Jan 18	Jan 18	G		
St Leonard's Tower Block - Lift	100	Mar 18	May 18	A		Indications that cost may exceed approval (by up to £95k) depending on procurement. Will be funded within HRA resources if required.
Exchange Demolition	112	Dec 17	March 18	A		Delay in construction undertaken by medical centre owners
E-Communications (Mobile Working)	402	Mar 18 / ongoing	Mar 18 / June 18 / Dec 18	A		Delay in trialling new devices until corporate procurement of new IT hardware supplier
Northgate Business Systems Phase 2	1,536	Mar 17 / June 18	Dec 17 / June 18	A		Decision to procure Mobile Working solution has delayed roll out which impacts online offer
Tower Block Redevelopment	909	Aug 17/ Nov 18	Nov 17 / Jan 19	A		Slight delay in reoccupation of Gordon House following Grenfell Fire has knock on effect to Maxfield House works
Total	5,047					

Capital Programme Project Monitoring 2017/18

Departmental Summary

1. General

Department (or Division in CDN)	Children's Services
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2. Projects

Project Name	Approval 17/18 to 19/20 (£000)	Original Completion Date	Forecast Completion Date	RAG Rating (project)	RAG Rating (outcomes) if different	Give reasons if not green
Additional Places - Inglehurst Junior	310	Jan 18	Mar 18	A		The project was originally to be procured via framework to meet the required timescales. However there were value for money concerns with the submitted costs. Project was therefore reviewed and re-programmed to allow for a formal competitive tender process, resulting in a revised programme.
Additional Places - Spinney Hill	231	TBC	On Hold	P		The Feasibility Study identified challenges and due to the amount of structural works required the design was considered not to be viable when aligned to the project budget. Further plans are being reviewed to identify the next steps to identify a deliverable project.
Additional Places - Alderman Richard Hallam	400	Sep 17	Sept 17	G		
Additional Places - Overdale Junior	86	Aug 16	Aug 16	B		Completed. Budget close-out to be confirmed.
Additional Places - Marriott	612	TBC	TBC	P		The Feasibility Study has been completed. The project is briefly on hold whilst engagement takes place with the School to select the option for moving forward. A programme will be determined once the scope of works is agreed.
Primary School TMBs	2,346	Oct 17	Oct 17	G		
Primary School Internal Reconfiguration	777	Sep 17	Sep 17	G		
Carisbrooke	693	Oct 17	Oct 17	G		

Project Name	Approval 17/18 to 19/20 (£000)	Original Completion Date	Forecast Completion Date	RAG Rating (project)	RAG Rating (outcomes) if different	Give reasons if not green
TMBs						
Secondary School TMBs	11,993	Oct 17	Oct 17	G		
Secondary Expansions – Fullhurst / Braunstone Skills Centre)	575	Oct 17	Oct 17	G		
Secondary Expansions – Fullhurst / Ellesmere)	1,725	Apr 18	Aug 19	A		
Children’s Homes – Barnes Heath	105	Sep 17	Sep 17	G		
Children’s Homes – Dunblane Avenue	96	Oct 17	On Hold	A		Whilst most works are either complete, or on track for completion, the Ground Floor Office Refurbishment Works are presently on hold pending review of funding remaining across the Children’s Homes and Contact Centres Programme.
Children’s Homes – Netherhall	214	On Hold	On Hold	P		Due to wider Budget overspend. Works currently on hold until confirmation of budget.
Children’s Homes – Tatlow Road	178	Dec 16	Feb 18	A		Works were delayed pending a full programme review due to identification of programme overspend. Works have recommenced and are on track to the revised programme.
Total	20,341					

Capital Programme Project Monitoring 2017/18

Departmental Summary

1. General

Department (or Division in CDN)	PUBLIC HEALTH
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2. Projects

Project Name	Approval 17/18 to 19/20 (£000)	Original Completion Date	Forecast Completion Date	RAG Rating (project)	RAG Rating (outcomes) if different	Give reasons if not green
Humberstone Heights Golf Course Investment	328	March 18	March 18	G		
Total	328					